SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL										
OMB Number:	3235-0287									
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1. Name and Address of Reporting Person [*] Richard Ronald	2. Issuer Name and Ticker or Trading Symbol Emergent BioSolutions Inc. [EBS]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner
		A Director 10% Owner
(Last) (First) (Mic	le) 3. Date of Earliest Transaction (Month/Day/Year) 09/27/2017	Officer (give title Other (specify below) below)
400 PROFESSIONAL DR, SUITE 400		
	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable
(Street)		Line)
GAITHERSBURG MD 20	70	X Form filed by One Reporting Person
		Form filed by More than One Reporting Person
(City) (State) (Zip		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any	3. Transa Code (4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial	
		(Month/Day/Year)	8) Code	v	Amount	(A) or (D)	Price	Owned Following Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	Ownership (Instr. 4)
Common Stock	09/27/2017		М		1,266 ⁽¹⁾	Α	\$7.99	24,676	D	
Common Stock	09/27/2017		S		1,266(1)	D	\$40	23,410	D	
Common Stock	09/28/2017		М		22,415 ⁽¹⁾	Α	\$7.99	45,825	D	
Common Stock	09/28/2017		S		22,415 ⁽¹⁾	D	\$40 ⁽²⁾	23,410	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(13), (11), (
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deri Sec Acq (A) (Disp of (I	umber ivative urities uired or oosed O) (Instr. and 5)	Expiration Date of Securities (Month/Day/Year) Underlying		of Securities D Underlying So Derivative Security (In		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Employee Stock Option (Right to buy) ⁽³⁾	\$7.99	09/27/2017		М			1,266	(4)	05/21/2018	Common Stock	1,266	\$0	22,415	D	
Employee Stock Option (Right to buy) ⁽³⁾	\$7.99	09/28/2017		М			22,415	(4)	05/21/2018	Common Stock	22,415	\$0	0	D	

Explanation of Responses:

1. The transactions reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by Mr. Richard.

2. The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$40.00 to \$40.05, inclusive.

3. Consists of an option granted under the company's stock incentive plan as amended and restated.

4. The option grant is fully vested.

<u>/s/ Eric Burt, Attorney-in-fact</u>

09/29/2017

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.